

2600

03/26/02

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.5)Applicant(s): **ERICH HORN, ET AL**

Docket No.

SWR-0077

Serial No.

10/077,221

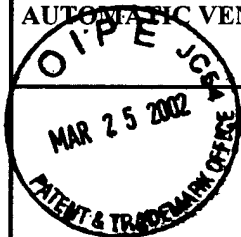
Filing Date

2/15/2002

Examiner

Group Art Unit

2621

Invention: **METHOD AND DEVICE FOR PERFORMING A TEST ACCEPTABILITY OF BANK NOTES BY
AUTOMATIC VENDING MACHINES**1209
#4
9-402COPY OF PAPERS
ORIGINALLY FILEDI hereby certify that this **IDS WITH POSTCARD**

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

MARCH 15, 2002

(Date)

NIDIA M. DERAS

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

RECEIVED**APR 23 2002****Technology Center 2600**

Note: Each paper must have its own certificate of mailing.



INFORMATION DISCLOSURE STATEMENT

US PATENT APPLICATION 10/077,221 FILED 2/15/2002 FIRST INVENTOR: ERICH HORN

TITLE METHOD AND DEVICE FOR PERFORMING A TEST ACCEPTABILITY OF BANK NOTES BY
AUTOMATIC VENDING MACHINES EXAMINER _____ ART UNIT _____

1. ☒ Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.98(b)(2).
2. ☐ Applicant herein apprizes the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. §120. The Serial Number of the parent application is U.S. Application _____ and entitled _____
The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. §1.98(d) copies of the listed publications are not required.
3. ☒ In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below):
☐ A copy of a translation of one or more non-English document, or portion thereof, is provided herewith;
☒ A concise explanation is provided (check at least one box below):
☐ in the accompanying foreign or international search report; ☐ incorporated into the specification; and/or ☒ provided herewith.

37 C.F.R. §1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE

4. ☒ Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application or within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION

5. ☐ Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required.

37 C.F.R. §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE

6. ☐ Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, the submission is being accompanied by (one of the following boxes must be checked):
 - a. ☐ the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p).
 - b. ☐ the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked).

37 C.F.R. §1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE

7. ☐ Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of the issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked.)
8. ☐ In accordance with 37 C.F.R. §1.97(e)(1) Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. ☐ The foreign or international search report(s) is/are enclosed.
9. ☐ In accordance with 37 C.F.R. §1.97(e)(2) Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the information disclosure statement.
10. ☒ In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 and 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 06-1130.
11. Consideration of this Information Disclosure Statement is respectfully requested.

Name:	LISA A. BONGIOVI	Registration Number	48,933
Signature	<i>Lisa Bongiovi</i>	Date	3/15/2002

CERTIFICATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name	NIDIA M. DERAS		
Signature	<i>Nidia M. Deras</i>	Date	3/15/2002

COPY OF PAPERS
ORIGINALLY FILED

APR 23 2002

RECEIVED

Technology Center 600